



DYNASTY CAPITAL SERVICES PRINCIPAL PROFILE - RANDOLPH L. TOM

Randolph L. Tom, Founder and Chairman of [Dynasty Capital Services LLC](#), has built the firm into one of the country's premier strategic advisory firms focused on corporate development. He is an expert on Asian strategic alliances, global financings and corporate finance that span a broad spectrum of industries and technologies. Having advised on more than \$3 Billion in transactions, Mr. Tom founded Dynasty with a vision of bridging the gap between Asia and the States through the creation of profitable business opportunities with a network of trusted partners.

Since Dynasty's founding in 1988, Mr. Tom has built Dynasty's foundation on strong business relationships across the continents while serving as an advisor to Boards of Directors, Chief Executives and senior management of publicly listed multinational firms. Capitalizing on his extensive knowledge of securities laws, management and corporate finance, Mr. Tom has served as a board member and senior officer of public and private companies. Focused initially on the wireless communication and media industries, Mr. Tom has expanded the scope of Dynasty's services to apply enhancing technologies to the corporate governance, asset management, logistics and entertainment sectors. Recently, he advised on the creation of a start-up hedge/private equity investment fund manager of more than \$500 Million and developed the strategic and corporate finance plan for one of Asia's leading providers of mobile entertainment software. In addition, Mr. Tom has counseled numerous successful start-ups and leading international technology companies, including planning the successful \$1.1 Billion sale of Avant! Corporation to [Synopsis Corporation](#) (Nasdaq: SNPS) and advising on an initial \$50 Million investment in [SMIC](#), the first semiconductor manufacturer in China (Nasdaq: SMIC).

Mr. Tom's entrepreneurial career began as a founding member and director of AT&E, whose wireless and semiconductor technologies form the foundation of [Microsoft's MSN Direct Band Network](#) with its "[Smart Watch](#)" and [Japan's NTT DoCoMo's "Wristomo"](#), a mobile phone developed in a wristwatch by Seiko. Mr. Tom's duties included serving as the President/CEO of the company's Asian operations, tackling the numerous cultural obstacles of doing business in Asia. Learning from Asian executives of their frustrations in dealing with their US counterparts' lack of understanding of Asian business practices, Mr. Tom saw an opportunity for an Asian-American firm to prosper by enhancing the lines of communications around the world. Prior to the company's sale to Seiko, he was instrumental in raising more than \$120 Million in capital through innovative financial techniques such as the first PIPE transactions. Growing the Company with a peak market capitalization of nearly \$700 Million, Mr. Tom forged strategic relationships with [Epson](#), [Hutchison Whampoa](#), [Bell Atlantic](#) (now [Verizon](#)), [France Telecom](#) and other institutional investors and venture capital funds.

Mr. Tom is a frequent speaker at venture capital and communication conferences, including [The Pacific Telecommunications Conference](#), and his commentary has appeared in numerous publications, including [The Wall Street Journal](#), [The American City Business Journals](#) and [The National Law Journal](#). He is the Editor-in-Chief of [Dynasty Perspectives](#), a quarterly web-based newsletter, focused on technology and financial developments, facilitating the flow of capital between continents.

Mr. Tom was previously the head of the Asia-Pacific practice in the Silicon Valley office of [Oppenheimer Wolff and Donnelly](#) and began his career on Wall Street as a securities lawyer with the New York office of [Fulbright & Jaworski](#). Mr. Tom received his JD, MBA and BS degrees with honors from [New York University](#) and is admitted to practice law in New York, California and the District of Columbia. One of the first Asian Americans elected to serve on the Board of Directors of both a NASDAQ and an exchange-listed company, Mr. Tom now serves as an advisor to the [Aviator Fund Management, L.P.](#), a private investment company, and [Fortify Systems Inc.](#), a Sarbanes-Oxley software solutions company. Mr. Tom is also active in Asian American and community service organizations, serving as an Executive Advisory Board Member of the [Center for the Pacific Rim at USF](#).